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*Application
For
United States Non-Provisional Utility Patent*

Title:

**SYSTEM AND METHOD FOR MANAGING USER ACCESS OF DISTRIBUTED
RESOURCES**

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Inventors:

Jonathan C. Salas, residing at 6120 Upper Lindemann Road, Apt. #8, Byron, CA 94514, a citizen of the United States of America.

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Sanjeev Radhakrishnan, residing at 4205 Sophia Way, San Jose, CA 95134, a citizen of India.

SYSTEM AND METHOD FOR MANAGING USER ACCESS OF DISTRIBUTED RESOURCES

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BACKGROUND INFORMATION

Field of the Invention

The invention relates in general to a distributed computing system, particularly to
10 a system that manages user access to resources residing on a network.

Description of Related Art

As more and more computers are connected via the Internet or a network such as
an intranet or wide-area-network (WAN), resources such as databases, software
15 applications, hardware devices, and electronic appliances can be shared among users
within a network. Specifically, an application service provider (ASP) model, in which
applications residing on a remote server are provided to a plurality of users who pay for
such applications, requires a system to verify and manage user access to such
applications.

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Presently, various licensing managing methods have been developed to address
the management of user access. For example, many software applications are licensed on
a computer-by-computer basis or by user basis, in which each computer or each user is
given a unique license key to initiate access to a resource. However, this sort of licensing

management system is limiting, as it cannot accommodate other licensing criteria such as by usage basis, by time basis, by user-to-user relationship, or by priority basis. This extensibility to manage any type or combination of license criteria is especially important in an ASP model, as the service provider is required to handle numerous different

5 licensing policies for each application and each user.

Licensing management systems have been developed to accommodate additional licensing criteria such as a pay-per-use licensing system disclosed in U.S. Patent No. 6,049,789, Frison, et al., in which a licensing manager monitors usage and bills the user

10 accordingly for use. However, the system lacks the flexibility to handle different licensing policy criteria associated with a same user or among a group of different users, and different licensing policy criteria associated with a same application or among a group of different applications. In addition, prior art license management systems need to be programmed to handle different license policies and often are not compatible with

15 each other.

Therefore, it is advantageous to have an extendible and flexible system to manage any combination of license criteria that a resource provider requires.

SUMMARY OF THE INVENTION

The invention enables management of user access to distributed resources on a
5 network. The infrastructure for this system is a network, which enables a set of license
managers to control user access of resources distributed on a network.

The preferred embodiments of this system would enable a resource provider, such
as an application service provider, to manage user access based on any combination of
10 license criteria associated with a specific user or associated with a specific application.
Each usage or policy instance is evidenced by a token creation by a license manager and
said token enables user access to resources. The system is scalable as additional sets of
license managers can be added to manage users and applications. In addition, access can
be extended by providing for one or more secondary policy instances and notifying user
15 for renewal or creation of new policy instances.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of a user access management system having a client
20 component 10, license manager 100, and application servers 50 60 70 in accordance with
one embodiment of the present invention.

Figure 2 is a logical flow chart that describes a process for license policy registration.

Figure 3 is a logical flow chart that describes a process for license request processing.

Figure 4 is a logical flow chart that describes a fulfillment process of a sample usage of the system, wherein a resource request is a request for a software application.

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DETAILED DESCRIPTION OF PREFERRED EMBODIMENT

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The elements of the user access management system in accordance with the invention can essentially be divided into three distinct components: client component(s) 10 20, license manager 100, and resource component, in which a preferred embodiment comprises application servers 50 60 70, as illustrated in **Figure 1**.

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The system enables a resource provider to monitor user access to various resources connected on a network or wirelessly, such as software applications, hardware devices, databases, printers, computers, and personal digital assistants (PDAs). As computing tasks become more distributed among various resources, various user and resource licensing policies have to be managed to ensure that resource providers are compensated, user relationship is maintained, priority of tasks is maintained, and resource costs are within a budget. Also, the combination of licensing criteria can be endless.

Thus, without a robust resource management system, organizations are forced to select a few simple licensing policies such as access by user or by usage.

In the preferred embodiment, the system is used in an Application Service

- 5 Provider (ASP) model, in which software applications reside on servers, and clients share and access the applications from the servers via a network. However, one skilled in the art should realize that the resource could be software or microprocessor machine that can execute a computing task, such as a printer, a web-content server, or a database.
- 10 All components of the system (client, license manager, and resource) are connected to the system via registration through the administration module 30 and stored in a system database 40. The client component 10 consists an input module 11 to request a resource, a client manager 12 to track input and output data, and an output module 13 to present the resource or a product of the resource. Client 10 communicates to the other 15 components of the system via a network such as the Internet, a local area network (LAN) and/or wide area network (WAN), wireless and/or wired, or other network communication infrastructure.

In addition to the registration of each component to the system, various policy

- 20 license types such as by user, by usage, by client, by time, by date, by resource are registered into the system database 40 via administration module 30. Also, additional parameters are associated with each policy type to create user access session(s) or policy instance(s), which are then assigned to each corresponding user. The term “user access

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sessions or policy instances” as described herein is defined as allocated user access associated with a set of licensing criteria. For example, a policy license type that permits access by usage can be selected and furthered modified to create a policy instance 1 for user A with parameters including 10 hours of usage, during the month of March, to 5 application server 50, on Monday thru Friday, and no more than 2 hours per day. For each criteria or a combination of criteria, a specific policy instance is created to reflect the criteria. Thus, if user A also has access to application 60 on Saturday thru Sunday, a policy instance 2 may be created with parameters including “access to application 60” and “on Saturday thru Sunday.” In an alternative embodiment, policy instance(s) can 10 be created for each resource. For example, if the application server 50 can be accessed only on Saturday and by authorized managers, the application server 50 would have an associated policy instance 3 with parameters including “Saturday access” and “by authorized managers.” Since each criteria and combination of criteria can be added to common policy types to create policy instances, the system is able to handle different 15 licensing policies and relationships among users. Optionally, secondary policy instances or any additional policy instances (e.g. 10 minutes of usage billed separately, extra number of files produced, extra number of pages printed, and etc) are provided and assigned to users so that if the initial policy instances are depleted, users can utilize these additional resources to complete their current work. Also, these additional policy 20 instances can be utilized in priority schemes. For example, if a license policy is that a user C accesses server 50 before accessing server 60, user C will have an initial policy instance with parameter, “access server 50,” and a secondary policy instance with

parameter, "access server 60." In the above example, user C will deplete his access to server 50 before accessing his access to server 60.

After registration and assignment of policy instances to each user, a user utilizes
5 the client 10 to communicate a software application request to the application server 50
that has the application. Also, the application request is communicated to the license
manager 100 and the request is processed by a token administrator 102, as illustrated
furthered in Figure 3. The token administrator 102 of the license manager 100 will verify
user access and associated policy instances on the database 40. If verified, the token
10 administrator generates a token for the client 10 to access application on application
server 50. Within the application server 50, a token monitor 52 processes the token and
initiates access via an application initiator 54 or terminates access via an application
terminator 56. This fulfillment of resource request is described in more detail in Figure 4.

Figure 2a-2b describes the process for a license policy registration 2000, particularly
to an application license in an ASP model. In the initial registration as shown in **Figure
2a**, a system administrator will add new license policy types with associated parameters
such as by user, by resource, by time, by task priority, and by time 2100. For example, a
by time policy type monitors access based on a time specified such as the month of
March. Associated parameters relating to each policy type is entered into system
database 2200, which allows re-use of parameters commonly associated with such policy
type.

Referring to **Figure 2b**, specific policy instances are created for each criterion of a license policy. New policy instances are created for each user 2400 by selecting policy type 2500 and then modifying each policy type by adding user specific parameters to create policy instances specific to each user 2600. For example, if user B's license policy is usage in the month of March and only access from 9:00am to 5:00pm, a policy instance 3 is created by adding the user specific parameters, "from 9:00am to 5:00pm," to by time policy type, "Month of March," specified above.

Additionally, new policy instances can be created for each resource. For example, the server application 50 can have a policy instance 4 that specifies by user policy type with application specific parameters such as "Monday Access." All created policy instances is stored in the system database 2700 and assigned to specific users or applications 2800. If all policy instances associated with user/resource are present, registration is complete 2900. If all policy instances are not present, new policy instance creation process reiterates until all policy instances associated with user/resource are created and assigned.

Figure 3 describes the processing of a license request according to one embodiment of the invention. The user initiates license requests and a license manager receives policy data train 3100. The term "license policy data train" as described herein is defined as a list of policy instances. The license manager reads the license policy data train and contact system database 3200 to check each policy instance for availability 3300. If a policy instance is available, the token administrator of the license manager creates a sub-token, which enables access to the resource. Since each policy instance is associated with

a specific parameter, a user is allowed access when each sub-token corresponding to each policy instance is present. For example, if the license policy is that a user E has access to server 50 on Monday for one hour, user E will need to submit three sub-tokens, one for “server 50”, one for “Monday,” and one for “one hour.” Alternatively, each sub-token may be associated with a policy instance that has a plurality of criteria. For example, instead of 3 sub-tokens, user E may provide one sub-token for an hour access on Monday and one sub-token for server 50 access. By dividing a complicated license policy into separate policy instances, each instance needs only to monitor its own access, and numerous combinations of instances can be created to reflect any license policy.

Additionally, sub-tokens associated with a policy instance can allow access to only a portion of the resource access allocation. For example, if the policy instance provides 100 minutes of access, the sub-token created can allow access for 10 minutes and when the sub-token expired, another sub-token will be created if additional minutes remain in the policy instance. This eliminates the requirement to monitor the usage of the user on the client side.

After checking all policy instances and if one or more policy instances are available, the sub-token(s) created in step 3400 are combined into an access token and is returned to client 3600. The term “access token” as described herein is defined as an aggregate of the sub-tokens associated with a policy data train. If a policy instance is not available, secondary or additional policy instances are checked for availability. The term “secondary or additional policy instances” as described herein is defined as additional allocated access sessions. For example, a user can purchase an emergency reserve so that

if his initial access is depleted, he is able to tap into this emergency reserve to complete his work or save work to a disc. If there are secondary policy instances available, sub-tokens corresponding to the secondary policy instances are created and sent to client 3500. If no secondary policy instances are available, the client is notified that access is depleted and a request for new policy instance creation is made 3700. Additionally, a notification of time to create new policy instances can be sent to client when access is reduced to a certain level.

Figure 4 describes the process for fulfilling a client request of an application 4000. After the processing of request and creation of sub-tokens, as illustrated above in Figure 3, the client sends the access token to the token monitor 4100, which checks to see whether there are any more sub-tokens required to allow access. If there are additional sub-tokens, the sub-tokens are sent to the token monitor until there are no more sub-tokens. If user request to end application, the application terminator ends the application and access allocation remaining on the sub-token(s) is returned to the system database 4300. If user continues request for the application, the token monitor initiates application via the application initiator 4200 until a sub-token expires. When a sub-token expires, the token monitor renews the sub-token 4400. If renewal is successful, a sub-token is created and sent to the token monitor 4100 and the fulfillment process reiterates 4000. If renewal is not successful, the token monitor ends the application 4500 via the application terminator. Additionally, the token monitor comprises a criteria evaluator (e.g. a timer, a counter, a calendar, a heat sensor, and etc.) that determines the expiration of the sub-token. For example, if the sub-token is for Monday thru Friday, the token evaluator will

be a calendar that determines the expiration of the sub-token based on what day of the week access is requested.

The above embodiments are only illustrative of the principles of this invention and are not intended to limit the invention to the particular embodiments described. One skilled in the art should recognize that resources could include all types of resources such as software applications, hardware devices, computing machines, and etc. In particular, it is contemplated that functional implementation of the invention described herein may be implemented equivalently in hardware, software, firmware, and/or other available functional components or building blocks. Accordingly, various modifications, adaptations, and combinations of various features of the described embodiments can be practiced without departing from the scope of the invention as set forth in the appended claims.